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Individual and Corporate

Client Account No: \_\_\_\_\_

## TRANSACTION FORM

### I. TYPE OF INVESTOR/S

INDIVIDUAL

<b>Investor 1</b>	Prefix	Last Name	First Name	M.I.	Suffix
<b>Investor 2</b>	Prefix	Last Name	First Name	M.I.	Suffix
<b>Investor 3</b>	Prefix	Last Name	First Name	M.I.	Suffix
<input type="checkbox"/> INSTITUTION/COMPANY	Company Name				

### II. TRANSACTION/S

INITIAL SUBSCRIPTION (OPEN NEW ACCOUNT)

Fund Name	Subscription			Mode of Payment/ Details		
	No of Shares	% of Allocation	Amount	Type	Amount	Name of Bank & Account No.
				Cash/Check No.		
				ADA/Other payment platforms		
				Others		

ADDITIONAL SUBSCRIPTION (TOP-UP TO EXISTING ACCOUNT)

Fund Name	Subscription			Mode of Payment/ Details		
	No of Shares	% of Allocation	Amount	Type	Amount	Name of Bank & Account No.
				Cash/Check No.		
				ADA/Other payment platforms		
				Others		

REGULAR SUBSCRIPTION PLAN (INSTALLMENT)

Fund Name	Regular Subscription			Mode of Payment/ Details		
	No of Shares	% of Allocation	Amount	Type	Amount	Name of Bank & Account No.
				Cash/Check		
				ADA/Other payment platforms		
				Others		
Start Date (mm/dd/yr)	End Date (mm/dd/yr)	<input type="checkbox"/> Annual <input type="checkbox"/> Semi-annual <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly				

REDEMPTION

Fund Name	<input type="checkbox"/> Partial		<input type="checkbox"/> Full		Instructions/Mode of Payment /Account Details/Options	
	Amount	No of Shares/Units	Amount	No of Shares/Units		
					<input type="checkbox"/> Check Pick-up (Name of Authorized Representative)	
					<input type="checkbox"/> Fund Transfer	Name of Account Holder
						Name of Bank/Account No.

FUND SWITCH

FROM			TO		
Name of Fund	No. of shares/units	Amount	Name of Fund	No. of shares/units	Amount

By signing below, I/we hereby confirm the validity and accuracy of all the information/instructions provided herein. The Distributor / Receiving Bank is hereby authorized to implement the foregoing instructions in relation to my/our investment account; and acknowledge and confirm that I/we have read and fully understood the Terms and Conditions stated in this document and agree to be bound thereby.

<b>Investor 1 /Authorized Signatory</b>	<b>Investor 2 /Authorized Signatory</b>	<b>Investor 3 /Authorized Signatory</b>
<input checked="" type="checkbox"/> Signature over Printed Name	<input checked="" type="checkbox"/> Signature over Printed Name	<input checked="" type="checkbox"/> Signature over Printed Name
<b>ACC USE ONLY</b>		
Witnessed by: Certified Investment Solicitor	Witnessed by: Certified Investment Solicitor	Signature Verified by:
Name and Signature	Name and Signature	<input type="checkbox"/> With FEL <input type="checkbox"/> NO FEL

### III. RISK DISCLOSURE

Below are the relevant risks that your investment may be subject to. Some or all of these risks may affect the Fund's NAVPU/S, return on investment and/or ability to meet its investment objectives:

- Market/Price Risk – The possibility of an investor to experience losses due to adverse changes in market prices of securities.
- Liquidity Risk – The possibility for an investor to experience losses due to Fund's inability to sell or convert assets to cash immediately or in instances where conversion to cash is possible but at a highly disadvantageous price.
- Foreign Exchange Risk – The possibility for an investor to experience losses due to fluctuation in foreign exchange rates.

The investment may also be exposed to the following risks but a lesser extent:

- Credit/Default Risk – The possibility for an investor to experience losses to a borrower's failure to pay principal and/or interest in a timely manner on instruments such as bonds, loans, or other forms of debt security which the borrower issued.
- Reinvestment Risk – The risk associated with the possibility of having lower returns or earnings when maturing funds or the interest earnings of funds are reinvested.
- Other Risks – Particularly on related party interest, the risk of any actual or potential conflict of interest in the handling of in-house or related party transactions.
- Tracking Error Risk – The risk of the portfolio not matching or not achieving a high degree of correlation with the return of an index because of operating and other fund-management related expenses.

### IV. TERMS AND CONDITIONS

I/we likewise undertake to indemnify and hold Affinity Capital Corp, its directors, officers, staff, Investment Solicitors, authorized representatives free and harmless from any costs, liabilities, damages and expenses whatsoever arising out of or in connection with Affinity Capital's acceptance or non-acceptance, or action or inaction, upon any such instructions.

#### A. General

- 1) Subscription will be processed upon submission of completely filled-out form and payment is credited to AFFINITY Capital Corp. bank account.
- 2) My/our Redemption order will be processed subject to the availability of sufficient balance/no. of units in my/our account.
- 3) Transactions (Subscriptions and Redemptions) received after the cut-off time are considered transactions for the next banking day.
- 4) My/our transaction is subject to all the stipulations contained in the Fund's Terms and Conditions and the Prospectus.
- 5) I/We also declare that this transaction is made with the full knowledge and consent of my/our co-investor/s.
- 6) It is agreed and understood that the Fund may from time to time, at its discretion, modify, amend or revise these Terms and Conditions as it may deem are necessary. Said amendments, modifications or revisions shall be binding on me/us effective on the date indicated in the notice sent to me/us by the Fund.
- 7) For Joint accounts, I/we declare under penalty of perjury that my/our co-investors is/are still alive. I/we declare that in the event of the death, legal incapacity or bankruptcy of one of my co-account holders, the other account holder/s shall retain their power of disposal over the joint account. Power of Attorney granted before the death, legal incapacity or bankruptcy of an investor shall remain in force. The heir, executors, guardians or liquidators of the account holder may not assert to the claims to AFFINITY unless acting in the capacity of successor to or representative of the last remaining account holder.
- 8) I/We confirm that I am/we are fully aware of the relevant risks inherent to the above Fund/s and the same were clearly explained to me/us by the Investment Solicitor

#### B. Additional Terms and Conditions for Regular Subscription Plan (RSP) Only

- 1) I/We understand that there is volatility in market prices and enrollment in RSP would mean that the subsequent order will take place regardless of the movements in the market and at the applicable price on the relevant order date.
- 2) The subsequent order shall be cancelled and no further attempt will be made by the MF Distributor/ Receiving Bank to place the subscription if no remittance is transferred to ACC. The MF Distributor / Receiving Bank shall likewise have no obligation to notify me/us about next subscription date.
- 3) My/our full redemption of the outstanding shares/units of the enrolled investment funds prior to the End Date shall not terminate the RSP. A separate request for RSP termination must be made at the receiving branch/office where the RSP was enrolled.
- 4) The Fund reserves the right, upon prior written notice to me/us, to discontinue the RSP facility if the continued operation thereof is no longer viable or already inconsistent with its strategy.