

CLIENT SUITABILITY QUESTIONNAIRE (CSQ)

TYPE OF INVESTOR

I Individual	Prefix	Last Name	First Name	M.I.	Suffix
II Company					

Instructions: Encircle the letter which best describes your answer. All questions must be answered.

INVESTMENT KNOWLEDGE AND EXPERIENCE

<p>1. Which of the following have you invested in?</p> <p>a. Bank and Time Deposits, Money Market Funds</p> <p>b. Peso Govt Securities, Corporate Bonds and Peso Bond/ UITFs or Mutual Funds, Dollar Government or Corporate Bonds, or Dollar Bond UITF or Mutual Funds</p> <p>c. Stocks, Equity UITF or Mutual Funds and Derivatives</p>	<p>3. Liquidity. Do you have regular liquidity requirements?</p> <p>a. Need to draw regular income and use portion of the capital in the short term</p> <p>b. No need to draw regular income nor the need of portion of the principal in the short term</p> <p>c. Have other sources of liquidity and no need to use the funds for the next 5 to 10 years</p>
<p>2. What is your OBJECTIVE in investing?</p> <p>a. Capital Preservation</p> <p>b. Get more income but keep capital protected</p> <p>c. Grow my capital to the fullest</p>	<p>4. What is your knowledge and experience on investments?</p> <p>a. Limited. (Bank Deposits, Money Market Placements)</p> <p>b. Average. (Mutual Funds/UITF/Corporate Bonds, Direct investments in listed bonds)</p> <p>c. Extensive. Broad experience in investing local and offshore, and global markets</p>

RISK TOLERANCE

<p>5. How much risks are you willing to take?</p> <p>a. Minimal Risk. Low return will not be concern with short term fluctuations</p> <p>b. Moderate Risk. Accept average fluctuation in the principal for commensurate returns.</p> <p>c. High Risk. Accept high volatility and possible losses in the principal for certain periods to achieve higher returns or growth over a period of 5 years.</p>	<p>7. Time Horizon (No. of years invested without redemption)</p> <p>a. Less than 2years</p> <p>b. At least 5 years</p> <p>c. More than 5 years</p>
<p>6. How much are you willing to invest in stocks?</p> <p>a. Up to 10%</p> <p>b. From 11% to 50%</p> <p>c. More than 50%</p>	<p>8. What portfolio are you most comfortable with?</p> <p>a. Highest return of 10%, biggest loss of 5%</p> <p>b. Highest return of 30%, biggest loss of 30%</p> <p>c. Highest return of 60%, biggest loss of 50%</p>

RISK PROFILE

Risk Rating		SCORES			PROFILE		
Answers	Score	Item No	Answer	Score	Total Score	Risk Profile	Type of Fund
a	1	1			8 to 13	Conservative	Money Market to Bonds
b	2	2					
c	3	3			14 to 18	Moderate	Bonds to Balanced
		4					
		5					
		6			19 and up	Aggressive	Balanced to Equities
		7					
		8					
		TOTAL SCORES			RECOMMENDED FUND:		


CLIENT ACKNOWLEDGEMENT

1. I certify that the answers made on the questionnaires above have been made directly by me. I do not agree with the recommendations made by the CISOL on the mutual fund product appropriate to my profile based on the results of the suitability assessment. I hereby waive such results and have decided to avail of another mutual fund product which has higher/lower risk than what the results of the CSQ state. I fully understand that I am taking more risks in exchange for potentially higher/lower returns from the mutual fund product that I shall avail of and that I agree to taking such risks.

2. For joint accounts, I fully understand and expressly agree that the results of the CSQ shall apply to all of my accounts whether singly in my name or company's name or jointly with another accountholder/s in an and/or capacity where I am designated as primary account holder or authorized signatory.

3. Only one Investor or company's authorized representative shall fill-out the CSQ.

NOTE: The "you" and "your" words on this document refer to an individual or to an institution/company investor.

Investor 1	I have explained and discussed in details the results, basis and terms of CSQ and conditions of the investment outlets.
 Name and Signature	Certified Investment Solicitor (Name and Signature)
Date	Date