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INDIVIDUAL

CLIENT ACCOUNT NO. \_\_\_\_\_

**CUSTOMER INFORMATION SHEET**

(All fields are mandatory)

Account Type  Sole  Joint OR  Joint AND (all co-investors sign)  FAO (Attach FAO form)

**CLIENT DETAILS - INVESTOR 1**

Prefix	Last Name	Given Name	Middle Initial	Suffix	Civil Status
Preferred Mailing Address <input type="checkbox"/> Home <input type="checkbox"/> Office		Unit/Street #, Brgy, St., Municipality/City			Zip Code
Place/Country of Birth		Residence Tel No.		Citizenship	
Date of Birth (mm/dd/year)		Email address			Mobile no.
Occupation <input type="checkbox"/> Businessman <input type="checkbox"/> Professional		<input type="checkbox"/> Employee <input type="checkbox"/> OFW		<input type="checkbox"/> Retired/Unemployed <input type="checkbox"/> Student <input type="checkbox"/> Others	
Employer/Business Name		Position/Job title			
Office Address		Nature of Business/Industry			TIN/ SSS/ GSIS/ PASSPORT No.
Sources of Income <input type="checkbox"/> Employment <input type="checkbox"/> Business		<input type="checkbox"/> Sale of Assets <input type="checkbox"/> Gift/Donation		<input type="checkbox"/> Investment <input type="checkbox"/> Others	
Gross Annual Income					

**CLIENT DETAILS - INVESTOR 2**

Prefix	Last Name	Given Name	Middle Initial	Suffix	Civil Status
Preferred Mailing Address <input type="checkbox"/> Home <input type="checkbox"/> Office		Unit/Street #, Brgy, St., Municipality/City			Zip Code
Place/Country of Birth		Residence Tel No.		Citizenship	
Date of Birth		Email address			Mobile no.
Occupation <input type="checkbox"/> Businessman <input type="checkbox"/> Professional		<input type="checkbox"/> Employee <input type="checkbox"/> OFW		<input type="checkbox"/> Retired/Unemployed <input type="checkbox"/> Student <input type="checkbox"/> Others	
Employer/Business Name		Position/Job title			
Office Address		Nature of Business/Industry			TIN/ SSS/ GSIS/ PASSPORT No.
Sources of Income <input type="checkbox"/> Employment <input type="checkbox"/> Business		<input type="checkbox"/> Sale of Assets <input type="checkbox"/> Gift/Donation		<input type="checkbox"/> Investment <input type="checkbox"/> Others	
Gross Annual Income					

**AGREEMENT**

1. I/We confirm that I/we gave consent to be referred to an authorized investment solicitor for the AFFINITY Distributed Mutual Funds and I/we have understood the product features, and other inherent risks and other pertinent information about the FUND/s. I am/We are aware that AFFINITY Mutual Funds are fully managed by a Professional Fund Manager.  
 2. I/We hereby authorize the Receiving Bank to debit and/or credit or cause the debiting and/or crediting of my/our Settlement Account as needed to service my/our fund transactions. I/We likewise agree to be serviced by service providers and entities engaged by the Fund Manager/ Service Administrator/ Receiving Bank to facilitate the administration and implementation of said transactions and expressly consent to the processing, access, transfer and sharing of all personal information relative thereto.  
 3. Subject to the Data Privacy Act (RA 10173), I/we agree that ACC, Fund Manager, Receiving Bank and/or their solicitors, representatives or service providers and their respective outsourced providers is under an obligation of confidentiality, may process, obtain, collect, record, organize, store, update, modify, use, access, share and/or disclose ("Process"), information relating to me/us and/or my/our Account(s) in order to (a) facilitate, monitor, improve the quality of, or otherwise service my/our account and such services, products, facilities and/or channels availed by me/us, and (b) to comply with legal, regulatory or other obligations of the ACC/Fund Manager/ Receiving Bank under applicable local or rules and foreign laws, regulations (including but not limited to those relating to anti-money laundering, exchange of information among tax authorities, the United States Foreign Account Tax Compliance Act [FATCA] and/or common reporting standards or as may otherwise be required by investment counterparties and/or financial industry bodies or associations, whether local or foreign counterparties. I/we likewise agree to inform the ACC/Service Administrator/Receiving Bank of any changes relating to my personal information.  
 4. This consent and authorization remains valid and subsisting until otherwise revoked or cancelled in writing.

**DECLARATION OF SIGNATURES**

I/We have read and understood and agree to all the terms and conditions stated on this page and at those stated at the back of this page.

These have been explained fully to me/us by the Investment Solicitor.

Investor 1  Name and Signature	Investor 2  Name and Signature
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**ACC Official Use Only**

Witness :	
Name & Signature of Certified Investment Solicitor :	Code Date Branch/Office Code

## INVESTMENT TERMS AND CONDITIONS

The INVESTOR/s hereby participate/s in the Fund/s, which is/are an open-end investment companies managed by Professional Fund Manager such as BPI Investment Management Inc., hired/appointed by the Fund and distributed by AFFINITY CAPITAL CORP. (ACC), an SEC registered licensed mutual fund distribution company.

### AUTHORITY OF THE FUNDS' DULY AUTHORIZED REPRESENTATIVES

**FUND MANAGER.** The Fund Manager shall exercise absolute control, direction and management of the investment of the Fund/s. The Fund Manager herein is referred to as the one named in the Prospectus.

**PRINCIPAL DISTRIBUTOR/CO-DISTRIBUTOR.** The registered principal distributor of all AFFINITY funds is AFFINITY Capital Corp. The ACC can co-distribute other mutual funds, provided, license is granted by the Securities and Exchange Commission (SEC).

**SERVICE ADMINISTRATOR/S.** ACC and the Fund Manager shall provide administrative support to the FUND's backroom/operational and reportorial requirements.

### OTHER PROVISIONS

**DISTRIBUTOR.** The fund shall be sold only through a duly licensed certified investment solicitor of ACC and all other authorized distribution channels.

**MANNER OF PARTICIPATION.** Every transaction of an investor/s shall be made via the purchase of units or shares arrived at by dividing the transaction amount, net of applicable charges, by the applicable Net Asset Value per Share/Unit (NAVPS/U).

**AUTHORIZED DEPOSITORY ACCOUNT.** ACC or the Fund shall open bank account/s where subscriptions to the FUND/s can be accepted.

**CUT-OFF TIME PER TRANSACTION.** For the effective and efficient management of the FUND, the FUND through its FUND MANAGER, shall set and shall retain the option to change from time to time, such rules as the cut-off times and value dates for each type of transaction.

**EVIDENCE OF PARTICIPATION/INVESTMENT.** A system generated TRANSACTION CONFIRMATION ADVICE (TCA) shall be issued by the Fund, through AFFINITY CAPITAL CORP., as evidence of participation of the investor/client into the FUND.

**JOINT ACCOUNTS.** Designation of a joint account as the Settlement Account shall be made by all the holders of the said joint account who shall be co-INVESTORS. The operation of and withdrawals of the funds in "AND" joint accounts must be signed or authorized by all the co-INVESTORS. In cases of "OR" joint accounts, any one of the co-INVESTORS is authorized to operate the funds and to effect any withdrawal therefrom. For online transactions, only the primary investor or account holder may initiate any related transactions.

**MANNER OF REDEMPTION.** Redemptions out of the FUND shall be computed on the basis of the applicable NAVPS/U on the day the redemption is effected. Proceeds of the redemption, net of any applicable redemption fee, shall be credited to the Settlement Account or released through check not later than seven (7) banking days from the date of ACC's receipt of duly filled-up Fund Transaction Form. Should a partial redemption result in the investment falling below the required minimum maintaining balance, this Agreement shall be deemed automatically terminated, in which case the entire balance shall be paid to the INVESTOR/s, based on the applicable NAVPS/U for the day multiplied by the number of shares/units.

**SELECTED FEATURES OF THE FUND.** For the effective and efficient management of the FUND, the Fund, through ACC/Fund Manager, in accordance with applicable rules, shall set, and retain the option to change from time to time, the minimum holding period for each investment in the FUND, the minimum number of shares/units or amounts for initial and additional subscriptions, partial redemptions and the required minimum maintaining balance for investment in the FUND.

**FUND VALUATION.** The investments of the FUND shall be valued using the valuation method defined in the FUND's Prospectus.

**MANNER OF ORDER/SUBSCRIPTION.** The FUND shall be sold only through the FUND's SEC accredited Certified Investment Solicitor (CISol) and/or distribution channels. Contributions into the FUND shall be computed on the basis of the applicable NAVPS/U, net of the applicable sales load.

**INSTRUCTIONS.** All instructions, oral or written, whether original, facsimile or via electronic-mail, given or purported to have been given by the persons authorized to operate the account as designated in the Customer Information Sheet (or otherwise in writing), are binding on the INVESTOR/s. The FUND may, through ACC, at its discretion, conclusively rely and act on any such instructions, and shall not in any way be liable for any loss which the INVESTOR/s may incur as a result of the FUND's reliance and action on any such instruction.

**COMMUNICATION AND NOTICES.** All communications, whether by mail, telephone, facsimile, electronic-mail, messenger or otherwise, sent to the INVESTOR's address appearing in the records of ACC, shall constitute personal delivery to the INVESTOR/s. All communications shall be directed to said last known nominated address appearing in the ACC's records unless a written notice of change of address is received by the ACC five (5) banking days prior to the delivery of communication or notice to the INVESTOR/s. All communications sent to the INVESTOR's mailing address, email address or fax number shall be conclusive as to their correctness in the absence of any written objection received by ACC within five (5) banking days from delivery thereof.

**REPORTS.** A report shall be released to the INVESTOR/s at least once every quarter. The report shall consist, among others, of the INVESTOR/s' summary of investments showing the number of shares/units in the FUND, the total cost and market value thereof, the unrealized income/(loss), and a transaction activity report showing subscriptions and redemptions made during the period covered. This will be sent either via courier or electronic mail.

**DISTRIBUTION OF FUND EARNINGS.** The total income of the FUND shall be allocated to the respective INVESTOR/s in proportion to their respective shareholdings/unit of participation. The unrealized income of each INVESTOR is the difference between the applicable NAVPS/U over the average acquisition cost of the INVESTOR's shares/units, multiplied by the shares/units held by the INVESTOR/s. Realization of any income, or conversely, any loss, of the INVESTOR/s occurs when shares are partially or fully redeemed. Further, the FUND/s, in accordance with applicable laws, may from time to time, declare dividends.

**ADJUSTMENTS.** ACC is authorized by the INVESTOR/s to correct any error or mistake in the INVESTOR's account without need of prior notice or consent.

**LIABILITY OF FUND MANAGER.** Nothing in these Terms and Conditions shall be construed as a guaranty of return or income; nor does it entitle the INVESTOR/s to a fixed rate of return on the money invested by him/her in the FUND. The Fund Manager shall not be liable for any loss or depreciation in the value of the FUND or in the value of INVESTOR's shareholdings/unitholdings unless attributable to Fund Manager's act of fraud, willful default, gross negligence or evident bad faith.

**TAXES, EXPENSES AND FEES.** The Fund Manager is authorized, without prior notice to the INVESTOR/s, to deduct from the FUND, taxes relative to the acquisition and disposition of investments, expenses (including but not limited to audit and legal fees, documentary stamps, etc.) and in consideration of services rendered herein, the Fund Manager shall collect on every valuation date management and distribution fees based on the INVESTOR's proportionate share/unit of participation in the fund.

**CHARACTER / NATURE OF THE OMNIBUS AGREEMENT.** It is understood that upon signing of this Omnibus Agreement ("Agreement"), the INVESTOR/s undertakes to consider this Agreement as the uniform/consolidated arrangement which shall govern all types of ACC Mutual Funds and all other mutual funds distributed by ACC and managed by professional Fund Manager/s.

In case the INVESTOR/s decide/s to subsequently subscribe to any ACC Mutual Funds, the Terms and Conditions of this Agreement shall prevail, as there will be only one Omnibus Agreement to be signed at the beginning of the period to which the ACC Mutual Funds and the INVESTOR/s have mutually entered into for the participation of the INVESTOR/s.

Further, all Terms and Conditions stated in the provisions of this Agreement, insofar as the same shall be consistent and applicable to the type/nature of the FUND availed of by the INVESTOR/s, shall be controlling, and no other covenant shall be recognized, unless otherwise specifically enumerated in a separate agreement mutually acceptable to the ACC Mutual Fund and the INVESTOR/s, a court of competent jurisdiction, or other competent authority.

**MISREPRESENTATION/ FRAUD.** The INVESTOR/s attest/s that all information provided herein are true, correct and accurate. The INVESTOR/s further attest/s that the amounts invested in the FUND/S are derived from legitimate sources. In case of fraud or misrepresentation, it is agreed and understood that ACC and the Fund Manager has the option to close/terminate the account/s at anytime without prior notice to the INVESTOR/s and the outstanding shares/units shall immediately be sold at the prevailing NAVPS/U, and shall be subject to the applicable early redemption penalty. In such case, ACC/Fund Manager reserves the right to reject any subsequent subscriptions to the FUND/S.

**INVESTMENT ACCOUNT SERVICES.** For investors, they may opt to enroll in Nvestmo.com. The Nvestmo.com is an online investment facility and service provided by AFFINITY to its customers. You may directly invest, submit your redemption, track the performance of your investment to this online facility. To register, you may follow these steps:

Step 1 Visit our website at [affinitycorp.net](http://affinitycorp.net)

Step 2 Click Log in and create account. You will receive an email notification on the steps to follow.

THIS CONTRACT IS BETWEEN THE CLIENT/S NAMED HEREIN, AFFINITY CAPITAL CORP, MUTUAL FUND COMPANY AND THE FUND MANAGER. ALL TRANSACTIONS ARISING OUT OF OR RELATED TO THIS CONTRACT SHALL BE BINDING ONLY AMONG THESE CONTRACTING PARTIES.